

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Verrilli, Donald B

Solicitor General, Department of Justice - Simple

Report Year: 2015

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Verrilli, Donald B [electronically signed on 05/22/15 by Verrilli, Donald B in Integrity.gov] - Filer received a 14 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Margolis, David, Certifying Official [electronically signed on 08/27/15 by Margolis, David in Integrity.gov]

Other review conducted by

/s/ Rodgers, Janice, Ethics Official [electronically signed on 08/27/15 by Rodgers, Janice in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Mullen-Roth, Barbara A, Certifying Official [electronically signed on 09/23/15 by Mullen-Roth, Barbara A in Integrity.gov]

Data Revised 08/26/2015

Data Revised 07/28/2015

Data Revised 07/16/2015

Comments of Reviewing Officials (public annotations):

PART # REFERENCE COMMENT

N/A N/A General (07/16/15, Blue, Amanda): Rev'd by AB. No conflicts.

N/A N/A General (08/26/15, Blue, Amanda): Filer granted a late fee waiver on 8/25/15 for bond transactions from June-December 2014. The transactions did not cause any conflicts.

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Morgan Stanley Smith Barney Blackrock Global Alloc	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
2	Morgan Stanley Smith Barney Calamos Convertible Fund	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
3	Morgan Stanley Smith Barney Calamos Neutral Income	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4	Morgan Stanley Smith Barney Henderson International Opportunity Fund	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
5	Morgan Stanley Smith Barney Ivy Large Cap Growth Fund	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
6	Morgan Stanley Smith Barney Ivy Asset Strategy Fund	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
7	Morgan Stanley Smith Barney Nuveen NWQ Multi Cap Value Fund	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
8	Morgan Stanley Smith Barney Thornburg International Value Fund	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
9	Morgan Stanley Smith Barney Touchstone Mid Cap Growth Fund	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
10	Morgan Stanley Smith Barney Touchstone Sands Cap Select Growth Fund	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
11	Lincoln National Universal Life Policy	No	\$100,001 - \$250,000		None (or less than \$201)
12	Morgan Stanley Smith Barney Loomis Sayles Strategic Income Fund	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
13	Chicago IL BOE SCH Reform A-O-CPN Bond	No	\$1,001 - \$15,000	Interest	\$201 - \$1,000
14	Denton TX Independent School Dist RFDG Bond	No	\$1,001 - \$15,000	Interest	\$201 - \$1,000
15	District of Columbia MBIA Unltd G/O Bond	No	None (or less than \$1,001)	Interest	\$201 - \$1,000
16	Harris County TX G/O Rev Fe MBID Bond	No	\$1,001 - \$15,000	Interest	\$201 - \$1,000
17	Hillard Ohio School District Construction Bond	No	None (or less than \$1,001)	Interest	\$201 - \$1,000
18	Louisiana Local Govt B/E O-CPN Rev OID C/A 3.85% Bond	No	\$1,001 - \$15,000	Interest	\$201 - \$1,000
19	Michigan City Ind Area-Wide FGIC B/E O-CPN Rev Bond	No	\$1,001 - \$15,000	Interest	\$201 - \$1,000

20	Michigan State Bldg Auth Revenue RFDG Bond	No	\$1,001 - \$15,000	Interest	\$201 - \$1,000
21	Minister Ohio Local School Dist RFDG Bond	No	\$1,001 - \$15,000	Interest	\$201 - \$1,000
22	Newman-Crows Landing Unified School Dist Bond	No	\$1,001 - \$15,000	Interest	\$201 - \$1,000
23	Sussex County NJ Mun Utils Bond Auth	No	\$1,001 - \$15,000	Interest	\$201 - \$1,000
24	Washington State G/O Ser 5 Full Faith and Credit Bond	No	\$1,001 - \$15,000	Interest	\$201 - \$1,000
25	Washington State RFDG Ser-R-97A Bond	No	\$1,001 - \$15,000	Interest	\$201 - \$1,000
26	Citibank Bank Deposit Program (FDIC Insured)	No	\$1,001 - \$15,000		None (or less than \$201)
27	Met Life Investors USA Variable Annuity Ser L	No	\$100,001 - \$250,000		None (or less than \$201)
27.1	Meet Life Balanced Strategy Portfolio Fund	Yes	\$100,001 - \$250,000		None (or less than \$201)
28	American Fund Growth Fund of America	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
29	American Fund Income Fund of America	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
30	American Fund Washington Mutual Investors Fund	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
31	Bank of America Money Market Account	No	\$1,001 - \$15,000		None (or less than \$201)
32	Morgan Stanley Smith Barney Thornburg Ltd TRM Muni C	Yes	\$15,001 - \$50,000		\$201 - \$1,000
33	Savannah GA Eco Dev Auth Ser C Bond	No	\$1,001 - \$15,000	Interest	\$201 - \$1,000
34	Leander TX Independent Sch Dist Bond	No	\$1,001 - \$15,000	Interest	\$201 - \$1,000
35	FifthThird Bank Cincinnati OH CD	No	None (or less than \$1,001)	Interest	\$201 - \$1,000
36	Wells Fargo Adv Absolut Red Adm	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
37	Morgan Stanley Portfolio Retirement Account	No	\$1,000,001 - \$5,000,000		None (or less than \$201)
37.1	Citibank Bank Deposit Program (FDIC Insured)	No	\$1,001 - \$15,000		None (or less than \$201)
37.2	Morgan Stanley Blackrock Global Allocation I	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
37.3	Morgan Stanley Gabelli Asset I	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
37.4	Morgan Stanley Harding Loevner Emerg Mkts Adv	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
37.5	Morgan Stanley Henderson Intl Opport I	Yes	\$15,001 - \$50,000		\$201 - \$1,000
37.6	Morgan Stanley Ivy Asset Strategy I	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
37.7	Morgan Stanley Loomis Sayles Strategic Inc	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
37.8	Morgan Stanley Natixis Vaughn Nelson VL/Opp	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
37.9	Morgan Stanley Nuveen NWQ Multi-Cap Val I	Yes	\$50,001 - \$100,000		\$201 - \$1,000
37.10	Morgan Stanley PIMCO All Asset Authority	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
37.11	Morgan Stanley Prudential Absolute Ret Bd Z	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
37.12	Morgan Stanley Thornburg Intl Value I	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
37.13	Morgan Stanley Thornburg Limited-Term Inc Inst	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
37.14	Morgan Stanley Touchstone Mid Cap Growth Y	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000

37.15	Morgan Stanley Touchstone Sands Cap Sel Gr Y	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
37.16	Morgan Stanley Virtus Multi Sect Sht Term BDI	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
37.17	Morgan Stanley Wells Fargo Adv Absolt Ret Adm	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
37.18	Morgan Stanley First Trust Large Cap Value	Yes	\$15,001 - \$50,000		None (or less than \$201)
38	Metro WA DC Airports Auth Dulles Toll Rd Rev B Bond	No	\$1,001 - \$15,000	Interest	\$201 - \$1,000

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Justice Federal Credit Union	No	\$1,001 - \$15,000		None (or less than \$201)
2	TIAA CREF Tax Deferred Annuity	No	\$15,001 - \$50,000		None (or less than \$201)
2.1	TIAA Traditional	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.2	TIAA Real Estate	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.3	TIAA Stock	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2.4	TIAA Global Equities	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.5	TIAA Growth	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3	403(B) Thrift Account Mutual of America	No	\$15,001 - \$50,000		None (or less than \$201)
3.1	Mutual of America MM Fund	No	\$1,001 - \$15,000		None (or less than \$201)
3.2	Mutual of America Interest Bearing Cash	No	\$1,001 - \$15,000		None (or less than \$201)
3.3	Calvert VP SRI Balanced Portfolio Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000

6. Other Assets and Income

None

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	American Funds (College America) Money Market Fund	Sale	03/17/2014	\$1,001 - \$15,000
2	District of Columbia MBIA Unltd G/O Bond	Sale	06/02/2014	\$1,001 - \$15,000
3	Hilliard Ohio School District Construction Bond	Sale	12/01/2014	\$1,001 - \$15,000
4	Metro WA DC Airports Auth Dulles Toll Road Rd Rev B Bond	Purchase	06/18/2014	\$1,001 - \$15,000
5	Morgan Stanley Calamos Convertible Fund	Sale	10/29/2014	\$1,001 - \$15,000
6	Morgan Stanley First Trust Large Cap Value	Purchase	10/23/2014	\$15,001 - \$50,000
7	Morgan Stanley Gabelli Asset I	Purchase	10/23/2014	\$15,001 - \$50,000
8	Morgan Stanley PIMCO All Asset Authority	Sale	10/09/2014	\$50,001 - \$100,000
9	Morgan Stanley Touchstone Sands Cap Select Gr Y	Purchase	10/23/2014	\$15,001 - \$50,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Bank of America VISA, Washington DC	Credit Card	\$15,001 - \$50,000	2007	12	revolving
2	Justice FCU VISA, Washington, DC	Credit Card	\$10,001 - \$15,000	2007	11.9	revolving
3	Bank of America	Mortgage on Personal Residence	\$500,001 - \$1,000,000	2013	3.125	15 years

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
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Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets and Income

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets and Income

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
